

JCO3 Rec'd PCT/PTO 15 JAN 2002

10/031313

Certificate of Mailing		
Date of Deposit <u>January 15, 2002</u>	Label Number: <u>EK325446605US</u>	
I hereby certify under 37 C.F.R. § 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.		
<u>Guy Beardsley</u> Printed name of person mailing correspondence	<u>Guy Beardsley</u> Signature of person mailing correspondence	
Substitute Form PTO 1390 U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket Number: <u>50125/044001</u>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. Application Number:
INTERNATIONAL APPLICATION NUMBER	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP00/06692	July 13, 2000	July 15, 1999
TITLE OF INVENTION:	STRUCTURAL PROTEIN OF ADENO-ASSOCIATED VIRUS WITH MODIFIED ANTIGENICITY, ITS PRODUCTION AND ITS USE	
APPLICANTS FOR DO/EO/US.	Michael Hallek et al.	
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.	
2.	<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371	
3.	<input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. § 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. § 371(b) and PCT Articles 22 and 39(1).	
4.	<input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19 th month from the earliest claimed priority date	
5.	<input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. § 371(c)(2)). <input type="checkbox"/> a. is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> b. has been transmitted by the International Bureau. <input type="checkbox"/> c. is not required, as the application was filed with the United States Receiving Office (RO/US)	
6.	<input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. § 371(c)(2)).	
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)). <input type="checkbox"/> a. are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> b. have been transmitted by the International Bureau. <input type="checkbox"/> c. have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> d. have not been made and will not be made.	
8.	<input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).	
9.	<input checked="" type="checkbox"/> An oath or declaration of the inventors (35 U.S.C. § 371(c)(4)). (Unsigned)	
10.	<input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5))	
11.	<input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. §§ 1.97 and 1.98.	
12.	<input type="checkbox"/> An assignment for recording A separate cover sheet in compliance with 37 §§ 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/> A FIRST preliminary amendment <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.	
14.	<input type="checkbox"/> A substitute specification	
15.	<input type="checkbox"/> A change of power of attorney and/or address letter.	
16.	<input checked="" type="checkbox"/> Other items or information: PCT/IPEA/409, PCT/ISA210 form; PCT/IB/306 and Cover Page of PCT Publication	

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531 Rec'd PCT/PTC 15 JAN 2002

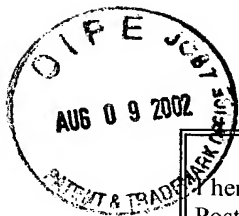
17.	<p>■ The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 C.F.R. § 1.492(A)(1)-(5)):</p> <p>Neither international preliminary examination fee (37 C.F.R. § 1.482) nor international search fee (37 C.F.R. § 1.455(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1040.00</p> <p>International preliminary examination fee (37 C.F.R. § 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 890.00</p> <p>International preliminary examination fee (37 C.F.R. § 1.482) not paid to USPTO but international search fee (37 C.F.R. § 1.445(a)(2)) paid to USPTO \$ 740.00</p> <p>International preliminary examination fee (37 C.F.R. § 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1) - (4) \$ 710.00</p> <p>International preliminary examination fee paid to USPTO (37 C.F.R. § 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00</p>			\$890.00
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$890.00	
Surcharge of \$130 for furnishing the oath or declaration later than <input type="checkbox"/> 20 OR <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(e)).			\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	31-20=	11	x \$18	
Independent claims	10-3 =	7	x \$84	
Multiple dependent claims (if applicable)			+ \$280	
TOTAL OF ABOVE CALCULATIONS =			\$1676 00	
Reduction of 1/2 for filing by small entity, if applicable. Applicant claims small entity status under 37 C.F.R. § 1.27.				
SUBTOTAL =			\$838.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 OR <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. § 1.492(f)).			+	
TOTAL NATIONAL FEE =			\$838 00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§ 3.28, 3.31). \$40.00 per property.			+	
TOTAL FEES ENCLOSED =			\$838.00	
			Amount to be refunded	
			\$	
			charged	
			\$	
<p>■ a. A check in the amount of \$838 00 to cover the above fees is enclosed.</p> <p>□ b. Please charge my Deposit Account No. 03-2095 in the amount of \$ [**]** to cover the above fees.</p> <p>■ c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 03-2095.</p>				
<p>NOTE: Where an appropriate time limit under 37 C.F.R. §§ 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>				
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Karen L. Elbing, Ph.D. Clark & Elbing LLP 176 Federal Street Boston, MA 02110-2214</p> <p>Telephone: 617-428-0200 Facsimile: 617-428-7045 Customer No.: 21559</p>		<p>Signature</p> <p><i>[Signature]</i></p> <p>Karen L. Elbing, Ph.D. Reg. No. 35,238</p>		

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Revised 15 March 2000
21559
PATENT TRADEMARK OFFICE



DTOS Rec'd PCT/PTO 09 AUG 2002

PATENT

ATTORNEY DOCKET NUMBER: 50125/044001

BoxSeq
#7.

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Colleen Coyne
Printed name of person mailing correspondence

Colleen Coyne
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Hallek et al. Art Unit:
Serial No.: 10/031,313 Examiner:
Filed: January 15, 2002 Customer No.: 21559
Title: STRUCTURAL PROTEIN OF ADENO-ASSOCIATED VIRUS WITH
MODIFIED ANTIGENICITY, ITS PRODUCTION AND ITS USE

United States Patent and Trademark Office
Box Sequence
P.O. Box 2327
Arlington, VA 22202

STATEMENT UNDER 37 C.F.R. § 1.825(d)

In reply to the Notification of Defective Response mailed July 17, 2002, enclosed is a substitute copy of the sequence listing in computer readable form, the contents of which are identical to that filed on May 23, 2002.

If there are any charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 4 August 2002

Karen L. Elbing
Karen L. Elbing, Ph.D.
Reg. No. 35,238

Clark & Elbing LLP
101 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045



\\Clark-w2k1\documents\50125\50125.044001 Reply to Notification of Defective Response.wpd

PTO/PCT 03 JUN 2002

PATENT
ATTORNEY DOCKET NUMBER: 50125/044001

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Colleen Coyne
Printed name of person mailing correspondence

Colleen Coyne
Signature of person mailing correspondence

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Hallek et al.

Art Unit:

Serial No.: 10/031,313

Examiner:

Filed: January 15, 2002

Customer No.: 21559

Title: STRUCTURAL PROTEIN OF ADENO-ASSOCIATED VIRUS WITH
MODIFIED ANTIGENICITY, ITS PRODUCTION AND ITS USE

Assistant Commissioner For Patents
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.825

In reply to the Notification of Missing Requirements mailed March 27, 2002 and as required by 37 C.F.R. § 1.825(a), enclosed is an amended sequence listing consisting of two sheets to be inserted at the end of the application.

The amendments correct the correspondence address and typographical errors. I hereby submit that the substitute sheets contain no new matter.

As required by 37 C.F.R. § 1.825(b), enclosed is a diskette containing a copy of the sequence listing in computer readable form including all previously submitted data with the amendments incorporated therein. The contents of the computer readable form are the same as the contents of the paper sheets.

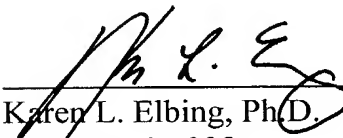
03-2095

If there are any charges or any credits, please apply them to Deposit Account No.

03-2095.

Respectfully submitted,

Date: 23 May 2002



Karen L. Elbing, Ph.D.
Reg. No. 35,238

Clark & Elbing LLP
101 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045

\\Clark-w2k1\documents\50125\50125.044001 Sequence Statement.wpd



#S

ATTORNEY DOCKET NUMBER: 50125/044001

Colleen Cerne
Signature of Person Mailing Correspondence

In a preferred embodiment there is insertion of protein or peptide, preferably immunosuppressive protein or peptide. The peptide in this case can consist of, for example, 5 to 30 amino acids, preferably 8 to 20 amino acids and, in particular, 10 to 18 amino acids. The peptide has, for example, the sequence

QAGTFALRGDNPQG (SEQ ID NO: 1) or a sequence which is highly homologous therewith.

Replace the paragraph on page 12, lines 4-12 with the following paragraph re-written in clean form:

In a further preferred embodiment, one or more insertions are present in the VP3 structural protein (Rutledge, E.A. et al. (1998) supra) before and/or after at least one amino acid in the sequence selected from YKQIS SQSGA (SEQ ID NO: 2), YLTLN NGSQA (SEQ ID NO: 3), YYLSR TNTPS (SEQ ID NO: 4), EEKFF PQSGV (SEQ ID NO: 5), NPVAT (SEQ ID NO: 6), EQYGS (SEQ ID NO: 7), LQRGN RQAAT (SEQ ID NO: 8), NVDFVT VDTNG (SEQ ID NO: 9), because these sites are located on the exposed sites of a loop, in which case the risk of changing the VP3 structure is low.

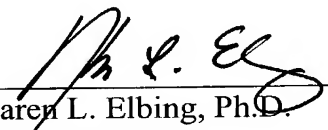
REMARKS

The specification has been amended to provide a unique sequence identification number for each nucleotide sequence in the specification. The attached sequence listing has also been inserted into the application. No new matter is introduced by any of these amendments.

If there are any other charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 23 May 2002



Karen L. Elbing, Ph.D.
Reg. No. 35,238

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Boston, MA 02110-2214
Telephone: 617-428-0200
Facsimile: 617-428-7045



[illegible]

In the Specification:

Replace the paragraph at page 10, lines 4-10 with the following amended paragraph:

In a preferred embodiment there is insertion of protein or peptide, preferably immunosuppressive protein or peptide. The peptide in this case can consist of, for example, 5 to 30 amino acids, preferably 8 to 20 amino acids and, in particular, 10 to 18 amino acids. The peptide has, for example, the sequence QAGTFALRGDNPQG (SEQ ID NO: 1) or a sequence which is highly homologous therewith.

Replace the paragraph on page 12, lines 4-12 with the following amended paragraph:

In a further preferred embodiment, one or more insertions are present in the VP3 structural protein (Rutledge, E.A. et al. (1998) supra) before and/or after at least one amino acid in the sequence selected from YKQIS SQSGA (SEQ ID NO: 2), YLTLN NGSQA (SEQ ID NO: 3), YYLSR TNTPS (SEQ ID NO: 4), EEKFF PQSGV (SEQ ID NO: 5), NPVAT (SEQ ID NO: 6), EQYGS (SEQ ID NO: 7), LQRGN RQAAT (SEQ ID NO: 8), NVDFT VDTNG (SEQ ID NO: 9), because these sites are located on the exposed sites of a loop, in which case the risk of changing the VP3 structure is low.

SEQUENCE LISTING

<110> Hallek, Michael
Girod, Anne
Ried, Martin

<120> Structural Protein of Adeno-Associated
Virus with Modified Antigenicity, Its Production and Its Use

<130> 50125/044001

<140> US 10/031,313

<141> 2002-01-15

<150> PCT/EP00/06692

<151> 2000-07-13

<150> DE 19933288.6

<151> 1999-07-15

<160> 9

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<212> PRT

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<400> 1

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<211> 10

<212> PRT

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1 5 10

<210> 4

<211> 10

<212> PRT

<213> Adeno-Associated Virus



JC07 Rec'd PCT/PTO 14 MAR 2002 \$
#4.

PATENT
ATTORNEY DOCKET NUMBER: 50125/044001

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Colleen Coyne

Printed Name of Person Mailing Correspondence

Colleen Coyne

Signature of Person Mailing Correspondence

Fee code 198-115. King 4/2/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Hallek et al.

Serial No.: 10/031,313

Filed: January 15, 2002

Title: STRUCTURAL PROTEIN OF ADENO-ASSOCIATED VIRUS WITH
MODIFIED ANTIGENICITY, ITS PRODUCTION AND ITS USE

Repln. Ref: 04/15/2002 THOLLAND 0010131100
Art Unit:

Examiner: 008:032095 Name/Number: 10031313

Customer No.: 21559 \$867.00 CR

Assistant Commissioner For Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Prior to examination, kindly amend the above-referenced application as follows.

In the claims:

Replace the current set of claims 1-28 and 30-32 with the following new set of
claims 33-73.

04/02/2002 LLANDGRA 00000077 10031313

01 FC:198 1115.00 OP

33. Structural protein of adeno-associated virus (AAV), wherein the structural

04/15/2002 THOLLAND 00000002 10031313

protein comprises at least one modification which brings about a reduction in the

01 FC:969 antigenicity of the virus 140.00 OP

02 FC:967 108.00 OP

Adjustment date: 04/15/2002 THOLLAND

04/02/2002 LLANDGRA 00000077 10031313

01 FC:198 -1115.00 OP

34. A structural protein as claimed in claim 33, wherein the modification brings about

a negligible reduction in the infectivity of the virus.

35. A structural protein as claimed in claim 33, wherein the mutated structural protein is capable of particle formation.

36. A structural protein as claimed in claim 33, wherein it is selected from the group consisting of modified VP1, modified VP2, and modified VP3.

37. A structural protein as claimed in claim 33, wherein it is derived from one of the group consisting of AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, and other AAV serotypes derived therefrom.

38. A structural protein as claimed in claim 33, wherein the modification(s) is/are located on the virus surface.

39. A structural protein as claimed in claim 33, wherein the modification(s) is/are located at the N terminus of the structural protein.

40. A structural protein as claimed in claim 33, wherein the modification is based on a covalent or noncovalent linkage of one or more high or low molecular weight compound(s), optionally selected from the group consisting of biotin, a mono- or oligosaccharide, a hydroxide group, and a F_{ab} fragment, to one or more amino acid(s) or amino acid sequence(s).

41. A structural protein as claimed in claim 33, wherein the modification is a mutation selected from the group consisting of a point mutation, a mutation of more than one amino acid, one or more deletion(s), one or more insertion(s), and a combination of these mutations.
42. A structural protein as claimed in claim 41, wherein a protein or a peptide, optionally an immunosuppressive protein or peptide, is inserted.
43. A structural protein as claimed in claim 33, wherein the structural protein comprises at least one other modification.
44. A structural protein as claimed in claim 43, wherein the other modification(s) bring(s) about an alteration in the infectivity of the virus.
45. A structural protein as claimed in claim 43, wherein the other modification(s) is/are selected from the group consisting of one or more deletion(s), one or more insertion(s), and a combination of these modifications.
46. A structural protein as claimed in any of claims 43 to 45, wherein the insertion is a cell membrane receptor ligand, a Rep protein or peptide, an immunosuppressive protein or peptide, and/or a protein or peptide with a signal for double strand synthesis of the foreign gene.

47. A structural protein as claimed in claim 43, wherein the insertion is selected from the group consisting of an integrin, a cytokine, a receptor binding domain of a cytokine, an integrin, a growth factor, single-chain antibodies which bind to a cell surface receptor, an antibody against cell surface structures, an antibody-binding structure, and an epitope.

48. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more insertions in the XhoI cleavage site of the VP1-encoding nucleic acid.

49. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more insertions in the XhoI cleavage site of the VP1-encoding nucleic acid.

50. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more insertions in the BsrBI cleavage site of the VP1-encoding nucleic acid.

51. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more insertions in the BsrBI cleavage site of the VP1-encoding nucleic acid.

52. A structural protein as claimed in claim 33, wherein the modification(s) is/are

brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions.

53. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions.

54. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid.

55. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid.

56. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid.

57. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid.

58. A structural protein as claimed in claim 33, wherein one or more insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from the group consisting of YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, and NVDFT VDTNG.

59. A structural protein as claimed in claim 43, wherein one or more insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from the group consisting of YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, and NVDFT VDTNG.

60. A structural protein as claimed in claim 33 in the form of an AAV particle, optionally in the form of an AAV capsid.

61. A structural protein as claimed in claim 43 in the form of an AAV particle, optionally in the form of an AAV capsid.

62. A nucleic acid coding for a structural protein as claimed in claim 33.

63. A nucleic acid coding for a structural protein as claimed in claim 43.

64. A cell comprising a nucleic acid as claimed in claim 62.

65. A cell comprising a nucleic acid as claimed in claim 63.

66. A method for producing a structural protein as claimed in claim 33, wherein a cell as claimed in claim 64 is cultivated, and, where appropriate, the expressed structural protein is isolated.

67. A method for producing a structural protein as claimed in claim 43, wherein a cell as claimed in claim 65 is cultivated, and, where appropriate, the expressed structural protein is isolated.

68. A pharmaceutical selected from the group consisting of a structural protein as claimed in claim 33, a nucleic acid as claimed in claim 62, a cell as claimed in claim 64, and where appropriate, excipients and/or additives.

69. A pharmaceutical selected from the group consisting of a structural protein as claimed in claim 43, a nucleic acid as claimed in claim 63, a cell as claimed in claim 65, and, where appropriate, excipients and/or additives.

70. A pharmaceutical comprising at least two different structural proteins selected from the group consisting of a structural protein as claimed in claim 33 and a structural protein as claimed in claim 43, wherein each structural protein has a different modification.

71. A kit comprising at least two different structural proteins selected from the group

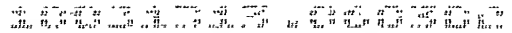
consisting of a structural protein as claimed in claim 33 and a structural protein as claimed in claim 43, wherein each structural protein is present separately from the other structural protein(s) in the kit.

72. A method for altering the antigenicity of AAV, for transforming a cell, and/or for gene therapy, the method comprising using a biological material selected from the group consisting of a structural protein as claimed in claim 33, a nucleic acid as claimed in claim 62, and a cell as claimed in claim 64.

73. A method for altering the antigenicity of AAV, for transforming a cell, and/or for gene therapy, the method comprising using a biological material selected from the group consisting of a structural protein as claimed in claim 43, a nucleic acid as claimed in claim 63, and a cell as claimed in claim 65.

REMARKS

By this amendment, Applicants have replaced the original and amended set of claims with new claims 33-73, all of which find support in the original claim set. No new matter has been added.



Respectfully submitted,

Date: 5 Mar 2002

~~Karen L. Elbing, Ph.D.~~
~~Reg. No. 35,238~~

Clark & Elbing LLP
176 Federal Street
Boston, MA 02110-2214
Telephone: 617-428-0200
Facsimile: 617-428-7045



PENDING CLAIMS

33. Structural protein of adeno-associated virus (AAV), wherein the structural protein comprises at least one modification which brings about a reduction in the antigenicity of the virus.
34. A structural protein as claimed in claim 33, wherein the modification brings about a negligible reduction in the infectivity of the virus.
35. A structural protein as claimed in claim 33, wherein the mutated structural protein is capable of particle formation.
36. A structural protein as claimed in claim 33, wherein it is selected from the group consisting of modified VP1, modified VP2, and modified VP3.
37. A structural protein as claimed in claim 33, wherein it is derived from one of the group consisting of AAV1, AAV2, AAV3, AAV4, AAV5, AAV6, and other AAV serotypes derived therefrom.
38. A structural protein as claimed in claim 33, wherein the modification(s) is/are located on the virus surface.
39. A structural protein as claimed in claim 33, wherein the modification(s) is/are

located at the N terminus of the structural protein.

40. A structural protein as claimed in claim 33, wherein the modification is based on a covalent or noncovalent linkage of one or more high or low molecular weight compound(s), optionally selected from the group consisting of biotin, a mono- or oligosaccharide, a hydroxide group, and a F_{ab} fragment, to one or more amino acid(s) or amino acid sequence(s).

41. A structural protein as claimed in claim 33, wherein the modification is a mutation selected from the group consisting of a point mutation, a mutation of more than one amino acid, one or more deletion(s), one or more insertion(s), and a combination of these mutations.

42. A structural protein as claimed in claim 41, wherein a protein or a peptide, optionally an immunosuppressive protein or peptide, is inserted.

43. A structural protein as claimed in claim 33, wherein the structural protein comprises at least one other modification.

44. A structural protein as claimed in claim 43, wherein the other modification(s) bring(s) about an alteration in the infectivity of the virus.

45. A structural protein as claimed in claim 43, wherein the other modification(s)

is/are selected from the group consisting of one or more deletion(s), one or more insertion(s), and a combination of these modifications.

46. A structural protein as claimed in any of claims 43 to 45, wherein the insertion is a cell membrane receptor ligand, a Rep protein or peptide, an immunosuppressive protein or peptide, and/or a protein or peptide with a signal for double strand synthesis of the foreign gene.

47. A structural protein as claimed in claim 43, wherein the insertion is selected from the group consisting of an integrin, a cytokine, a receptor binding domain of a cytokine, an integrin, a growth factor, single-chain antibodies which bind to a cell surface receptor, an antibody against cell surface structures, an antibody-binding structure, and an epitope.

48. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more insertions in the XhoI cleavage site of the VP1-encoding nucleic acid.

49. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more insertions in the XhoI cleavage site of the VP1-encoding nucleic acid.

50. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more insertions in the BsrBI cleavage site of the VP1-encoding

nucleic acid.

51. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more insertions in the BsrBI cleavage site of the VP1-encoding nucleic acid.

52. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions.

53. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions.

54. A structural protein as claimed in claim 33, wherein the modification(s) is/are brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid.

55. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid.

56. A structural protein as claimed in claim 33, wherein the modification(s) is/are

brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid.

57. A structural protein as claimed in claim 43, wherein the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid.

58. A structural protein as claimed in claim 33, wherein one or more insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from the group consisting of YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, and NVDFT VDTNG.

59. A structural protein as claimed in claim 43, wherein one or more insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from the group consisting of YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, and NVDFT VDTNG.

60. A structural protein as claimed in claim 33 in the form of an AAV particle, optionally in the form of an AAV capsid.

61. A structural protein as claimed in claim 43 in the form of an AAV particle, optionally in the form of an AAV capsid.

62. A nucleic acid coding for a structural protein as claimed in claim 33.
63. A nucleic acid coding for a structural protein as claimed in claim 43.
64. A cell comprising a nucleic acid as claimed in claim 62.
65. A cell comprising a nucleic acid as claimed in claim 63.
66. A method for producing a structural protein as claimed in claim 33, wherein a cell as claimed in claim 64 is cultivated, and, where appropriate, the expressed structural protein is isolated.
67. A method for producing a structural protein as claimed in claim 43, wherein a cell as claimed in claim 65 is cultivated, and, where appropriate, the expressed structural protein is isolated.
68. A pharmaceutical selected from the group consisting of a structural protein as claimed in claim 33, a nucleic acid as claimed in claim 62, a cell as claimed in claim 64, and where appropriate, excipients and/or additives.
69. A pharmaceutical selected from the group consisting of a structural protein as claimed in claim 43, a nucleic acid as claimed in claim 63, a cell as claimed in claim 65, and, where appropriate, excipients and/or additives.

70. A pharmaceutical comprising at least two different structural proteins selected from the group consisting of a structural protein as claimed in claim 33 and a structural protein as claimed in claim 43, wherein each structural protein has a different modification.

71. A kit comprising at least two different structural proteins selected from the group consisting of a structural protein as claimed in claim 33 and a structural protein as claimed in claim 43, wherein each structural protein is present separately from the other structural protein(s) in the kit.

72. A method for altering the antigenicity of AAV, for transforming a cell, and/or for gene therapy, the method comprising using a biological material selected from the group consisting of a structural protein as claimed in claim 33, a nucleic acid as claimed in claim 62, and a cell as claimed in claim 64.

73. A method for altering the antigenicity of AAV for transforming a cell, and/or for gene therapy, the method comprising using a biological material selected from the group consisting of a structural protein as claimed in claim 43, a nucleic acid as claimed in claim 63, and a cell as claimed in claim 65.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Michael Hallek et al.	Art Unit:
Serial No.:	Not yet assigned	Examiner:
Filed:	January 15, 2002	Customer No.: 21559
Title:	STRUCTURAL PROTEIN OF ADENO-ASSOCIATED VIRUS WITH MODIFIED ANTIGENICITY, ITS PRODUCTION AND ITS USE	

Assistant Commissioner For Patents
Washington, DC 20231

PRELIMINARY AMENDMENT

Prior to examination, kindly amend the above-referenced application as follows.

In the claims:

Cancel claim 29.

Amend claims 3-9, 11, and 13-28 as follows.

3. (Amended) A structural protein as claimed in claim 1, characterized in that the mutated structural protein is capable of particle formation.
4. (Amended) A structural protein as claimed in claim 1, characterized in that it is selected from modified VP1, modified VP2 and/or modified VP3.
5. (Amended) A structural protein as claimed in claim 1, characterized in that it is derived from AAV1, AAV2, AAV3, AAV4, AAV5 and/or AAV6 and other AAV serotypes derived therefrom, in particular from AAV2.

6. (Amended) A structural protein as claimed in claim 1, characterized in that the modification(s) is/are located on the virus surface.
7. (Amended) A structural protein as claimed in claim 1, characterized in that the modification(s) is/are located at the N terminus of the structural protein.
8. (Amended) A structural protein as claimed in claim 1, characterized in that the modification is based on a covalent or noncovalent linkage of one or more high or low molecular weight compound, for example of biotin, of a mono- or oligosaccharide, of a hydroxide group or of a F_{ab} fragment, to one or more amino acids or amino acid sequences.
9. (Amended) A structural protein as claimed in claim 1, characterized in that the modification is a mutation, for example a point mutation, a mutation of more than one amino acid, one or more deletion(s), one or more insertion(s) or a combination of these mutations.
11. (Amended) A structural protein as claimed in claim 1, characterized in that the structural protein comprises at least one other modification.
13. (Amended) A structural protein as claimed in claim 11, characterized in that the other modification(s) is one or more deletion(s), one or more insertion(s) or a combination of these modifications.
14. (Amended) A structural protein as claimed in claim 11, characterized in that the insertion is a cell membrane receptor ligand, a Rep protein or peptide, an immunosuppressive protein or peptide and/or a protein or peptide with a signal for double strand synthesis of the foreign gene.
15. (Amended) A structural protein as claimed in claim 10, characterized in that the insertion is selected from an integrin, a cytokine or a receptor binding domain of a cytokine, integrin or growth factor, single-chain antibodies which bind to a cell surface receptor, an antibody against cell surface structures, an antibody-binding structure or an epitope.
16. (Amended) A structural protein as claimed in claim 1, characterized in that the

modification(s) is/are brought about by one or more insertions in the XhoI cleavage site of the VP1-encoding nucleic acid.

17. (Amended) A structural protein as claimed in claim 1, characterized in that the modification(s) is/are brought about by one or more insertions in the BsrBI cleavage site of the VP1-encoding nucleic acid.
18. (Amended) A structural protein as claimed in claim 1, characterized in that the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions.
19. (Amended) A structural protein as claimed in claim 1, characterized in that the modification(s) is/are brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid.
20. (Amended) A structural protein as claimed in claim 1, characterized in that the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid.
21. (Amended) A structural protein as claimed in claim 1, characterized in that one or more insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, NVDFT VDTNG.
22. (Amended) A structural protein as claimed in claim 1 in the form of an AAV particle, in particular in the form of an AAV capsid.
23. (Amended) A nucleic acid coding for a structural protein as claimed in claim 1.
24. (Amended) A cell comprising a nucleic acid as claimed in claim 23.
25. (Amended) A method for producing a structural protein as claimed in claim 1, said method comprising cultivating a cell as claimed in claim 24 and, where appropriate, isolating the expressed structural protein.

26. (Amended) A pharmaceutical comprising a structural protein as claimed in claim 1, a nucleic acid as claimed in claim 23 and/or a cell as claimed in claim 24 and/or, where appropriate, excipients and/or additives.
27. (Amended) A pharmaceutical comprising at least two different structural proteins as claimed in claim 1, characterized in that each structural protein has a different modification.
28. (Amended) A kit comprising at least two different structural proteins as claimed in claim 1, characterized in that each structural protein is present separate from the other structural protein(s) in the kit.

Add the following new claims 30-32.

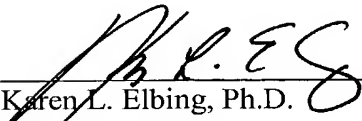
30. (New) A method for altering the antigenicity of AAV, comprising using a structural protein as claimed in claim 1, or a nucleic acid as claimed in claim 23, and/or a cell as claimed in claim 24.
31. (New) A method for transforming a cell, comprising using a structural protein as claimed in claim 1, or a nucleic acid as claimed in claim 23, and/or a cell as claimed in claim 24.
32. (New) A method of gene therapy, comprising using a structural protein as claimed in claim 1, or a nucleic acid as claimed in claim 23, and/or a cell as claimed in claim 24.

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Respectfully submitted,

Date: 15 January 2002



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MARKED UP VERSION TO SHOW AMENDMENTS

3. (Amended) A structural protein as claimed in [either of claims] claim 1 [or 2], characterized in that the mutated structural protein is capable of particle formation.
4. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 3], characterized in that it is selected from modified VP1, modified VP2 and/or modified VP3.
5. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 4], characterized in that it is derived from AAV1, AAV2, AAV3, AAV4, AAV5 and/or AAV6 and other AAV serotypes derived therefrom, in particular from AAV2.
6. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 5], characterized in that the modification(s) is/are located on the virus surface.
7. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 6], characterized in that the modification(s) is/are located at the N terminus of the structural protein.
8. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 7], characterized in that the modification is based on a covalent or noncovalent linkage of one or more high or low molecular weight compound, for example of biotin, of a mono- or oligosaccharide, of a hydroxide group or of a F_{ab} fragment, to one or more amino acids or amino acid sequences.
9. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 7], characterized in that the modification is a mutation, for example a point mutation, a mutation of more than one amino acid, one or more deletion(s), one or more insertion(s) or a combination of these mutations.
11. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 8], characterized in that the structural protein comprises at least one other modification.

13. (Amended) A structural protein as claimed in [either of claims] claim 11 [or 12], characterized in that the other modification(s) is one or more deletion(s), one or more insertion(s) or a combination of these modifications.
14. (Amended) A structural protein as claimed in [any of claims] claim 11 [to 13], characterized in that the insertion is a cell membrane receptor ligand, a Rep protein or peptide, an immunosuppressive protein or peptide and/or a protein or peptide with a signal for double strand synthesis of the foreign gene.
15. (Amended) A structural protein as claimed in [any of claims] claim 10 [to 14], characterized in that the insertion is selected from an integrin, a cytokine or a receptor binding domain of a cytokine, integrin or growth factor, single-chain antibodies which bind to a cell surface receptor, an antibody against cell surface structures, an antibody-binding structure or an epitope.
16. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 15], characterized in that the modification(s) is/are brought about by one or more insertions in the XhoI cleavage site of the VP1-encoding nucleic acid.
17. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 16], characterized in that the modification(s) is/are brought about by one or more insertions in the BsrBI cleavage site of the VP1-encoding nucleic acid.
18. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 17], characterized in that the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions.
19. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 18], characterized in that the modification(s) is/are brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid.
20. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 19], characterized in that the modification(s) is/are brought about by one or more deletions between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic

acid.

21. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 15], characterized in that one or more insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, NVDFT VDTNG.
22. (Amended) A structural protein as claimed in [any of claims] claim 1 [to 21] in the form of an AAV particle, in particular in the form of an AAV capsid.
23. (Amended) A nucleic acid coding for a structural protein as claimed in [any of claims] claim 1 [to 22].
24. (Amended) A cell comprising a nucleic acid as claimed in claim [22] 23.
25. (Amended) A method for producing a structural protein as claimed in [any of claims] claim 1 [to 21], [characterized in that] said method comprising cultivating a cell as claimed in claim 24 [23 is cultivated] and, where appropriate, isolating the expressed structural protein [is isolated].
26. (Amended) A pharmaceutical comprising a structural protein as claimed in [any of claims] claim 1 [to 21], a nucleic acid as claimed in claim [22] 23 and/or a cell as claimed in claim [23] 24 and/or, where appropriate, excipients and/or additives.
27. (Amended) A pharmaceutical comprising at least two different structural proteins as claimed in [any of claims] claim 1 [to 21], characterized in that each structural protein has a different modification.
28. (Amended) A kit comprising at least two different structural proteins as claimed in [any of claims] claim 1 [to 21], characterized in that each structural protein is present separate from the other structural protein(s) in the kit.

30. (New) A method for altering the antigenicity of AAV, comprising using a structural protein as claimed in claim 1, or a nucleic acid as claimed in claim 23, and/or a cell as claimed in claim 24.
31. (New) A method for transforming a cell, comprising using a structural protein as claimed in claim 1, or a nucleic acid as claimed in claim 23, and/or a cell as claimed in claim 24.
32. (New) A method of gene therapy, comprising using a structural protein as claimed in claim 1, or a nucleic acid as claimed in claim 23, and/or a cell as claimed in claim 24.

**Structural protein of adeno-associated virus with
modified antigenicity, its production and use**

The present invention relates to a structural protein
5 of adeno-associated virus (AAV) which comprises at
least one modification which brings about a reduction
in the antigenicity, its production and use.

The AAV virus belongs to the family of parvoviruses.
10 These are distinguished by an icosahedral, non-
enveloped capsid which has a diameter of 18 to 30 nm
and which contains a linear, single-stranded DNA of
about 5 kb. Efficient replication of AAV requires
coinfection of the host cell with helper viruses, for
15 example with adenoviruses, herpesviruses or vaccinia
viruses. In the absence of a helper virus, AAV enters a
latent state, the viral genome being capable of stable
integration into the host cell genome. The property of
AAV integrating into the host genome makes it
20 particularly interesting as a transduction vector for
mammalian cells. In general, the two inverted terminal
repeats (ITR) which are about 145 bp long are
sufficient for the vector functions. They carry the
"cis" signals necessary for replication, packaging and
25 integration into the host cell genome. For packaging in
recombinant vector particles, a helper plasmid which
carries the genes for nonstructural proteins (Rep
proteins) and for structural proteins (Cap proteins) is
transfected into cells suitable for packaging, for
30 example HeLa or 293 cells, which are then infected, for
example, with adenovirus. A lysate containing
recombinant AAV particles is obtained after some days.
Suitable helper plasmids are described, for example, by
Chiorini et al., (1995) Hum. Gene Ther. 6, 1531-1541 or
35 Girod et al. (1999), Nat. Med.

The AAV capsid consists of three different proteins:
VP1, VP2 and VP3, whose relative proportions are 5%
VP1, 5% VP2 and 90% VP3. The AAV capsid genes are

located at the right-hand end of the AAV genome and are encoded by overlapping sequences of the same open reading frame (ORF) using different start codons and two differently spliced variants of a transcript. The
5 VP1 gene contains the whole VP2 gene sequence, which in turn contains the whole VP3 gene sequence with a specific N-terminal region. The fact that the overlapping reading frames code for all three AAV
10 capsid proteins is responsible for the obligatory expression of all capsid proteins, although to different extents.

The molecular masses of the capsid proteins are 87 kD for VP1, 73 kD for VP2 and 62 kD for VP3. The sequences
15 of the capsid genes are described, for example, in Srivastava, A. et al. (1983), J. Virol., 45, 555-564; Muzyczka, N. (1992), Curr. Top. Micro. Immunol., 158, 97-129, Ruffing, N. et al. (1992), J. Virol., 66, 6922-6930 or Rutledge, E. A. et al. (1998) J. Virol.
20 72, 309-319. The physical and genetic map of the AAV genome is described, for example, in Kotin, R.M. (1994), Human Gene Therapy, 5, 793-801.

Also known are various AAV serotypes, of which the
25 human AAV serotype 2 (AAV2) has been most thoroughly researched. These analyses have shown that AAV viruses have advantageous properties as viral vectors for somatic gene therapy. The essential advantages are the lack of pathogenicity for humans, the stable
30 integration of viral DNA into the cellular genome, the ability to infect non-dividing cells, the stability of the virion, which makes purification to high titers (10^{13} to 10^{14} particles per ml) possible, the relatively low immunogenicity, and the absence of viral genes and
35 gene products in the recombinant AAV vector, which is advantageous from the viewpoint of safety for use in gene therapy. The cloning of genes into the AAV vector now takes place by methods generally known to the skilled person, as described, for example, in

WO 95/23 867, in Chiorini J.A. et al. (1995), Human Gene Therapy, 6, 1531-1541 or in Kotin, R.M. (1994), supra.

5 The use in particular of viral vectors in gene therapy is greatly dependent on the antigenicity of the system used because a high antigenicity is associated with an enhanced immune response which might interfere with the result of the therapy. The antigenicity of the AAV
10 virus is therefore also of crucial importance for its utilizability in therapy. The term antigen means substances which, after introduction into the human or animal body, induce a specific immune response. This is manifested either by the production of antibodies
15 (humoral immune response) and development of a cell-mediated immunity (cellular immune response) or by a specific immunological tolerance. The general prerequisite for an immune response (for the immunogenicity of the antigen) is that the antigen is
20 recognized by the body as foreign, that it has an MW of > 1 kDa and belongs to the class of proteins or polysaccharides, less commonly deoxyribonucleic acids or lipids. Complex structures such as, for example, bacteria, viruses or erythrocytes (particulate
25 antigens) are generally even more effective antigens, that is to say have high antigenicity. Antigenicity therefore means for the purpose of this invention the ability to interact (be recognized) with the immune system (humoral and cellular) by binding. The term
30 moreover encompasses the immunogenicity, that is to say also the ability to induce an immune response. It is moreover possible in principle in particular with viruses for antigenic structures for antibody binding to be determined not only by the primary structure but
35 also by the secondary, tertiary or quaternary structure of the capsid proteins or capsids.

Chapman M.S. and Rossmann M.G. (1993), Virology, 194, 491-508 were able to identify the principal antigenic

determinants of the CPV capsid by sequence comparisons with various parvoviruses from which the antigenic differences between the capsid proteins were predicted. According to this study, the antigenicity of the CPV capsid protein is linked primarily to externally exposed loops with high sequence variability. On the other hand, no such studies have yet been carried out on the AAV virus capsid. Only WO 96/00587 describes AAV capsid fusion proteins in which, for example, the DNA coding for a clinically relevant antigen is inserted into the DNA coding for a capsid protein without interfering with capsid formation, and the construct is expressed as AAV capsid fusion protein. The clinically relevant antigens are epitopes which derive, for example, from bacteria (e.g. salmonella), viruses (e.g. env-HIV) or tumor cells. The resulting AAV capsid fusion proteins are intended to produce an immune response, that is to say ensure increased antigenicity of the AAV viruses.

A reduced antigenicity of AAV is not suggested in the prior art. However, for practical use of AAV vectors - particularly in gene therapy - a reduced antigenicity compared with the wild type or with AAV vectors derived from the wild type is advantageous. This is because wild type AAV certainly also has antigenic determinants. Thus, there are anti-AAV2 Ig-positive individuals for whom therapy with AAV vectors of a wild-type antigenicity is inevitably difficult or impossible. Likewise, a patient might on repeated treatment with AAV vectors increasingly develop a humoral and/or cellular immune response to the AAV vectors used. Such an immunization would make a therapy less successful or unsuccessful. Thus, a lower antigenicity of a recombinant AAV virus or a greater difference between its antigenicity and a wild-type virus or a previously used recombinant AAV virus means that its therapeutic use appears more promising.

It was therefore an object of the present invention to reduce the antigenicity of the AAV virus in particular of a structural protein compared with the wild type. It was particularly intended to develop by modification
5 AAV vectors which make specific and efficient gene transfer possible but avoid the immune response better or completely. The modification ought therefore preferably to be such that at the same time there is a negligible reduction or at least a retention of the
10 infectivity of the virus.

It has now been found, surprisingly, that structural or capsid proteins of AAV can be modified in such a way that this brings about a reduction in the antigenicity
15 with a negligible reduction in the infectivity, which is at least retained.

One aspect of the present invention is therefore a structural protein of AAV which comprises at least one
20 modification which brings about a reduction in the antigenicity.

The reduction in the antigenicity means for the purpose of the invention and the above definitions the
25 reduction in the antibody production and/or antibody binding through modification, deletion or addition of particular sequences or epitopes or a combination of these measures, especially in particular epitopes and sequences present in the wild type. A reduced
30 antigenicity means, for example, a reduced immunization of an organism through a therapy with an AAV vector. In this connection, an antigenicity which is merely modified in absolute terms, i.e. in the average strength of the immune response, is also to be regarded
35 as reduced for the purpose of this invention if the structural protein of the invention does not induce an antibody (immune) response which would have been induced by the wild type. Such an antigenicity which has been merely modified in absolute terms may

lead to a reduced immunization if AAV vectors of the invention differing in antigenicity are employed in successive treatments. The modified antigenicity may moreover relate both to the humoral and to the cellular
5 immune response.

For the humoral immune response, the reduced antigenicity can be detected, for example, through an antibody which is able to bind to the unmodified (wild-
10 type) AAV capsid protein or AAV capsid no longer recognizing, or recognizing considerably less well, the modified AAV capsid protein or AAV capsid of the invention. Such detections can be carried out by standard methods such as an enzyme-linked immuno-
15 absorbent assay (ELISA). A suitable antibody is, for example, the A20 monoclonal antibody (see Wistuba, A. et al. (1997) J. Virol., 71, 1341-52), which specifically recognizes only completely assembled AAV2 capsids of the wild type, but no free capsid proteins.

20 For the cellular immune response, the modified antigenicity can be detected through AAV-specific immune cells not being so strongly stimulated by antigen-presenting cells which have been infected with
25 particles of modified structural proteins as by antigen-presenting cells which have been infected with particles of original structural proteins. This method is in analogy to the methods for vaccinia- and adenoviruses (Tarpey, I. et al., (1994), Immunology,
30 81, 222-7; Nimako, M. et al., (1997), Cancer Res. 57, 4855-61). Stimulation of immune cells can be measured quantitatively for example by a cytokine assay (Chapter 6.2 to 6.24 in Current Protocols in Immunology (1999), edited by Coligan J.E. et al., John Wiley &
35 Sons).

It is particularly preferred for the modification in the structural protein of the invention to bring about a negligible reduction in the infectivity of the virus

- 8 -

whose sequence varies, i.e. which have a low homology and which are expected to be located on the virus surface. Since the antigens for the humoral immune response must be accessible for antibodies and therefore on the virus surface, these loops represent preferred candidates for modifications. Thus, the known crystal structure of the CPV VP2 capsid protein (for example Luo M. (1988), J. Mol. Biol., 200, 209-211; Wu and Rossmann (1993), J. Mol. Biol., 233, 231-244; Tsao J. et al. (1991) Science, 251, 1456-1464) was taken as pattern, because of the great similarity to AAV2 VP3 in the secondary structure of the protein, in order to find the regions which are exposed on the viral capsid surface and, because of the local amino acid sequence, are sufficiently flexible to withstand insertion of a peptide sequence, for example. In this case, care was taken that no secondary structural elements of the AAV2 capsid protein which would destabilize the capsid were selected.

In a preferred embodiment, the modification(s) are located at the N terminus of the structural protein, because it has been found that, for example, in the case of parvovirus B19 the N terminus is located on the cell surface.

Another possibility for determining the surface-located regions of the structural proteins is to compare the nucleic acid sequences coding for the capsids from different AAV serotypes. It is possible to use for this purpose, for example, known DNA sequences from different AAV serotypes, such as AAV1, AAV2, AAV3, AAV4, AAV5 or AAV6, for structural analyses of possible capsid morphologies of, for example, AAV2, it being possible ab initio to calculate possible tertiary structures and assign sequence regions on the basis of generally known amino acid properties to the inner or outer capsid regions. It was thus possible, for example, according to the present invention to

establish possible insertion sites in the VP3 region of the AAV2 capsid, and these made it possible to insert, for example, peptides and express them on the viral surface (see below).

5

A modification means, for example, a modification of the capsid proteins which is achieved by covalent or noncovalent linkage of a molecule to one or more amino acids or amino acid sequences. Thus, a capsid protein
10 can be modified, for example, by covalent linkage of mono- or oligosaccharides, biotin or other high molecular weight compounds to one or more amino acids. The modification may, however, also be achieved by covalent linkage of low molecular weight compounds such
15 as a hydroxyl group to one or more amino acids. A further possibility is for molecules or molecule complexes to be attached to the capsid proteins via noncovalent linkage, and thus shield antigenic regions. This may be, for example, the antigen binding site of
20 immunoglobulins, e.g. an F_{ab} fragment or other molecules which have high affinity for the antigenic region or adjacent regions. Molecules of these types can be screened for their affinity for example from molecule libraries. If the three-dimensional structure of the
25 antigenic region or of the capsid protein is known, it is possible to design and synthesize a number of potentially binding molecules which can then be tested for their affinity.

30 However, modification also means, for example, one or more mutations, that is to say changes in the sequence of the amino acids. The term mutation encompasses, for example, a point mutation, a mutation of more than one amino acid, one or more deletion(s), one or more
35 insertion(s) or a combination of these mutations. It is moreover possible for the point mutation or the mutation of more than one amino acid to be present within T or B cell epitopes and for the modification simultaneously to consist of point mutations, mutations

of more than one amino acid, insertions and/or deletions.

In a preferred embodiment there is insertion of protein
5 or peptide, preferably immunosuppressive protein or
peptide. The peptide in this case can consist of, for
example, 5 to 30 amino acids, preferably 8 to 20 amino
acids and, in particular, 10 to 18 amino acids. The
peptide has, for example, the sequence QAGTFALRGDNPQG
10 or a sequence which is highly homologous therewith.

A structural protein of the invention which is
particularly preferred comprises at least one other
modification. By this is meant that the structural
15 protein comprises in addition to a modification which
brings about a reduction in the antigenicity of the
virus also another modification which does not
necessarily also bring about a reduction in the
antigenicity of the virus. Particular preference is
20 given in this connection to another modification which
brings about an alteration, preferably increase, in the
infectivity of the virus.

In a further preferred embodiment, the further
25 modification/s represent/s one or more deletions and/or
one or more insertions in the structural protein or
combinations of these modifications. The insertion in
this connection is preferably the insertion of a cell
membrane receptor ligand, of a Rep protein or peptide,
30 for example in the form of a Rep domain, of an
immunosuppressive protein or peptide and/or of a
protein or peptide with a signal for double strand
synthesis of a transgene or foreign gene.

35 Examples of further insertions are, inter alia,
integrins, cytokines or receptor binding domains of
cytokines, integrins or growth factors such as, for
example, GM-CSF, IL-2, IL-12, CD40L, TNF, NGF, PDGF or
EGF, single-chain antibodies binding to cell surface

receptors, so-called single chain antibodies (scFv), for example single-chain antibodies binding to the surface receptors CD40, CD40L, B7, CD28 or CD34, or epitopes or receptor binding sites which are, for example, in turn recognized by particular antibodies, for example anti-CD40L monoclonal antibodies or by chemical substances or hormones, for example catecholamines.

10 In a preferred embodiment of the other modification there is insertion of antibody-binding structures such as, for example, protein A, protein G or anti-Fc antibodies or parts thereof. To these are coupled in turn specific antibodies against particular cell
15 surface structures (for example against CD40 in the case of lymphatic cells or against CD34 in the case of hematopoietic cells).

In a preferred embodiment, the modification(s) is(are)
20 brought about by one or more insertions at the XhoI cleavage site of the VP1-encoding nucleic acid and in another preferred embodiment at the BsrBI cleavage site of the VP1-encoding nucleic acid. A further preferred embodiment of the structural protein of the invention
25 is brought about by a deletion between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid and one or more insertions, preferably at the deletion site.

In a further preferred embodiment of the present
30 invention, the modification(s) is(are) brought about by one or more deletions between the XhoI/XhoI cleavage sites of the VP1-encoding nucleic acid, which comprises 62 amino acids (Hermonat, P.L. et al. (1984), J. Virol., 51, 329-339). In a further preferred and
35 corresponding embodiment, the deletion(s) is/are located between the BsrBI/HindII cleavage sites of the VP1-encoding nucleic acid, which is located within the deletion described above and comprises 29 amino acids. This deletion has the advantage that it has no overlap

with the Rep gene and therefore has essentially no effect on the packaging mechanism.

5 In a further preferred embodiment, one or more insertions are present in the VP3 structural protein (Rutledge, E.A. et al. (1998) supra) before and/or after at least one amino acid in the sequence selected from YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT, EQYGS, LQRGN RQAAT, NVDFV VDTNG, because
10 these sites are located on the exposed sites of a loop, in which case the risk of changing the VP3 structure is low.

15 The point mutation(s), the mutation(s) of several amino acids, the deletion(s) or insertion(s) is/are carried out by generally known methods by deletion and insertion in the gene coding for the structural protein. The deletions can be introduced into the individual structural protein genes for example by
20 PCR-assisted mutagenesis. The insertions can be introduced by generally known methods, for example by hydrolysis by restriction endonucleases of the appropriate structural protein genes and subsequent ligase reaction. Subsequent expression of the mutated
25 gene leads to the structural protein of the invention.

Another aspect of the present invention is also a structural protein of the invention in the form of an AAV particle, in particular in the form of an AAV
30 capsid, because particles and capsids are particularly suitable as carriers of selected compounds, for example rAAV transduction vectors.

35 Further aspects of the present invention are a nucleic acid, preferably an RNA or DNA, in particular a double-stranded DNA, coding for a structural protein of the invention.

The present invention also relates to a cell,

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preferably a mammalian cell, for example a COS cell, HeLa cell or 293 cell, comprising a nucleic acid of the invention. Cells of this type are suitable, for example, for preparing the recombinant AAV particles.

5

A further aspect of the present invention is therefore also a process for producing a structural protein of the invention, in particular for producing a structural protein according to the invention in the form of an AAV particle, where a suitable cell comprising a nucleic acid coding for the structural protein according to the invention is cultivated and, where appropriate, the expressed structural protein is isolated. For example, the structural protein of the invention can be isolated on a cesium chloride gradient as described, for example, in Chiorini, J.A. et al. (1995), supra.

Another aspect of the present invention also relates to a pharmaceutical comprising a structural protein of the invention or a nucleic acid of the invention or a cell of the invention and, where appropriate, suitable excipients and additives such as, for example, a physiological saline solution, stabilizers, proteinase inhibitors, DNase inhibitors etc.

A further aspect of the present invention is a pharmaceutical which comprises at least two different structural proteins of the invention, each of which has different modifications. It is particularly preferred in this connection that they differ in antigenicity.

A further preferred aspect is a kit comprising at least two different structural proteins of the invention, in which each structural protein is present in the kit separate from the other structural protein(s).

For use of the kit or of the pharmaceutical having at least two different structural proteins of the

invention, for example as part of a therapy, initially one structural protein is used. Structural proteins differing in antigenicity is/are used for one or more subsequent application(s). Therapy using the pharmaceutical or kit thus encompasses successive administration of structural proteins of the invention. The pharmaceutical and kit thus have the advantage that (1) the potentiation, induced on repeated use of the same structural protein, of an immune response can be avoided and that (2) in the event of induction of an immune response during the first use, through use of a structural protein differing in antigenicity the defence response against this second use proves to be less effective than against a use with the first structural protein. The immunization of the patient which is reduced in this way increases the efficacy. For continued applications it is thus possible for there to be multiple alternation between different structural proteins in order thus to minimize the immunization of a patient. A set of a plurality of structural proteins in the form of infectious particles differing in antigenicity is preferred, these being used as vector for the multiple transfer of, for example, identical therapeutic genes. Another pharmaceutical comprises a set of structural proteins in the form of infectious particles which are used as vector for different therapies.

A further aspect of the present invention relates to the use of the structural protein of the invention for altering the antigenicity of AAV, for transforming a cell and/or - in the form of suitable rAAV vectors - for gene therapy. Gene therapy means a type of therapy in which, through introduction of nucleic acids into cells, an effector gene and thus usually a protein is expressed. A distinction is made in principle between in vitro and in vivo methods. In in vitro methods, cells are removed from the organism and transduced ex vivo with vectors in order subsequently to be

introduced again into the same or into another organism. For in vivo gene therapy, vectors, for example for controlling tumors, are administered systemically (e.g. via the blood stream) or locally
5 (e.g. into the tumor).

A considerable advantage of the present invention is that the antigenicity can be altered essentially without loss of the packaging efficiency of recombinant
10 AAV vectors - and thus of the basic prerequisite for infectivity - inside the capsid of the virus through the mutagenesis according to the invention of AAV structural proteins. The present invention is therefore especially suitable for in vivo transduction of cells,
15 for example for somatic gene therapy, if reduced immunization of patients is desired.

The following examples are intended to illustrate the invention in detail without restricting it.

20

Example 1

P1 mutation in VP3:

The starting point was a plasmid pUC-AV2 which was
25 produced by subcloning the 4.8 kb BglII fragment of pAV2 (ATCC 37261, ref. 53) into the BamHI cleavage site of pUC19 (New England BioLabs Inc.). Mutations were carried out at defined sites in the plasmid by means of the PCR-assisted mutagenesis known to the skilled
30 worker. This involved insertion of a sequence coding for P1, a 14 AA peptide with the AA sequence QAGTFALRGDNPQG, which contains the RGD binding motif of a laminin fragment (Aumailly et al. (1990) FEBS Lett. 262, 82-86), after nucleotides 2985, 3345 and 3963.
35 This corresponds to an insertion after amino acids 261, 381 and 587 in the AAV2 capsid protein (named according to the number of amino acids (AA) counted after the AA from the start of the N terminus in VP-1 of AAV2). In the subsequent PCR, in each case 2 mutation-specific

primers are used, and a plasmid, pCap, which contains only the cap gene and is formed by cutting the 2.2 kb EcoRI-BspMI fragment out of pUC-AV2 and inserting it into the EcoRI cleavage site of pUC19, is used as
5 template. The PCR products are subsequently amplified in bacteria and sequenced, and the 1.4 kb EcoNI-XcmI fragment which contains P1 is subcloned in pUC-AV2 in which the corresponding wild-type cap sequence has been cut out. Consequently, the plasmids (mutants) which are
10 named after the AA insertion sites pI-261, pI-381 and pI-587 contained the complete AAV2 genome. The correspondingly mutated proteins are referred to as I-261, I-381 and I-587.

15 **Example 2**

Production of AAV2 particles

HeLa cells (a human cervical epithelial cell line) were transfected with the plasmids of example 1, incubated
20 for about 20 h and then infected with adenovirus type 5. 72 h after the infection, the cells were disrupted and the AAV2 particles were purified on a CsCl gradient.

25 **Example 3**

Characterization of the capsid mutants of example 1

The intention of these experiments was to establish whether the capsid mutants are able to package the
30 viral genome and form complete capsids. AAV2 particles of the mutants of example 2 were examined to find whether and, if so, how many particles carry the viral genome and how much DNA was packaged in the capsid mutants. For this purpose, the virus particles (mutants
35 and wild type) purified in example 2 were treated with DNase, blotted and hybridized with a Rep probe.

The titer which emerged from this showed no quantitative or qualitative difference from the wild

type (see table 1). The viruses retained the ability to package the genome.

It was further possible to confirm by electron
5 microscopic analysis that the capsid is also formed.

The mutations were therefore not carried out in regions which are important for correct folding, capsid assembly or packaging of the genome. The function of
10 the AAV particles of the invention is unimpaired.

Example 4

Antigenicity of the capsid mutants of example 1

15 In order to be able to ensure the antigenicity of the mutated capsids, A20 monoclonal antibodies (A20MAb) were employed in an ELISA in a further experiment. A20MAb reacts specifically with the completely assembled AAV2 capsid of the wild type (Wistuba et al.,
20 (1997), J. Virol. 71, 1341-1352). Once again, the results are shown in table 1. It emerges from this that the A20 monoclonal antibodies no longer able to bind owing to the insertion in the mutants I-261 and I-381, in contrast to the wild type and I-587.

25

Table 1 Packaging efficiency and antigenicity of the virus mutants produced in example 1

Virus stock	Genomic virus titer	ELISA with A20 MAb
Wild-type capsid	$8 \cdot 10^{13}$	$6 \cdot 10^{12}$
Mutants		
I-261	$1 \cdot 10^{12}$	n.m.
I-381	$1 \cdot 10^{12}$	n.m.
I-587	$4 \cdot 10^{13}$	$3 \cdot 10^{12}$

30 The genomic virus titers (dot-blot) and the titer with A20 capsid ELISA are shown. The concentrations are

stated in particles/ml. "n.m." means "not measurable".

Example 5

Infection tests with capsid mutants of example 1

5 In order to test the tropism of the capsid mutants I-261, I-381 and I-587, cells of the cell line Co-115 were infected with the mutated viruses. Co-115 cells were used to test the wild-type receptor tropism of the virions because the latter can be transduced with wild-
10 type AAV2. Three days after the infection, the cells were investigated by immunofluorescence measurement using an anti-Rep antibody to find whether the viral Rep protein is expressed (Wistuba et al. (1997) J. Virol. 71, 1341-1352; Wistuba et al. (1995) J. Virol. 69, 5311-5319). Cells were grown to 70% confluence on
15 microscope slides and incubated with various concentrations of viral preparations of the invention in serum-free medium together with adenovirus 5. The titers of the viral preparations were determined three
20 days later by in situ detection of Rep protein synthesis in an immunofluorescence assay (Rep titer). The immunofluorescence staining was carried out in this case with AAV2-infected cells by a method of Wistuba et al. (Wistuba et al. (1997) J. Virol. 71, 1341-1352;
25 Wistuba et al. (1995) J. Virol. 69, 5311-5319). The microscope slides were washed once with PBS, fixed in methanol (5 min, 4°C) and then treated with acetone (5 min, 4°C). The cells were then incubated with the monoclonal antibodies 76-3, which reacts with Rep
30 proteins of AAV2, at room temperature for one hour. This was followed by washing and incubation with a rhodamine-conjugated anti-mouse secondary antibody at a dilution of 1:50 in PBS with 1% BSA for one hour. The titers were calculated from the last limiting dilution
35 of the viral stock solution which led to fluorescence-positive cells.

Rep-positive CO115 cells were detectable after infection with wild-type AAV2 and the mutants I-261 and

I-587, the infectivity of the mutants being two to three orders of magnitude less than that of the wild type, and one mutant was not infectious (I-381) (table 2). However, it was possible to show that the infectivity was retained for mutant I-261 despite reduced antigenicity (see example 4).

Table 2: Virus titer on the cell surface

Virus stock	Titer on CO115 cells
Wild-type capsid	$2 \cdot 10^9$
Mutants	
I-261	$7 \cdot 10^6$
I-381	n.m.
I-587	$1 \cdot 10^7$

10 The titers for the wild type-susceptible CO115 cells are shown. The titers are expressed in Rep EFU/ml for I-261, I-381 and I-587 as for the wild type. EFU here means expression-forming units (expressing forming unit). Moreover "n.m." means "not measurable".

PATENT CLAIMS

1. A structural protein of adeno-associated virus (AAV), characterized in that the structural protein comprises at least one modification which brings about a reduction in the antigenicity of the virus.
5
2. A structural protein as claimed in claim 1, characterized in that the modification brings about a negligible reduction in the infectivity of the virus.
10
3. A structural protein as claimed in either of claims 1 or 2, characterized in that the mutated structural protein is capable of particle formation.
15
4. A structural protein as claimed in any of claims 1 to 3, characterized in that it is selected from modified VP1, modified VP2 and/or modified VP3.
20
5. A structural protein as claimed in any of claims 1 to 4, characterized in that it is derived from AAV1, AAV2, AAV3, AAV4, AAV5 and/or AAV6 and other AAV serotypes derived therefrom, in particular from AAV2.
25
6. A structural protein as claimed in any of claims 1 to 5, characterized in that the modification(s) is/are located on the virus surface.
30
7. A structural protein as claimed in any of claims 1 to 6, characterized in that the modification(s) is/are located at the N terminus of the structural protein.
35
8. A structural protein as claimed in any of claims 1 to 7, characterized in that the modification is

35

VP1-encoding nucleic acid.

21. A structural protein as claimed in any of claims 1 to 15, characterized in that one or more
5 insertions in VP3 is/are located before and/or after at least one amino acid in the sequence selected from YKQIS SQSGA, YLTLN NGSQA, YYLSR TNTPS, EEKFF PQSGV, NPVAT EQYGS, LQRGN RQAAT, NVDFE VDTNG.
- 10 22. A structural protein as claimed in any of claims 1 to 21 in the form of an AAV particle, in particular in the form of an AAV capsid.
- 15 23. A nucleic acid coding for a structural protein as claimed in any of claims 1 to 22.
24. A cell comprising a nucleic acid as claimed in claim 22.
- 20 25. A method for producing a structural protein as claimed in any of claims 1 to 21, characterized in that a cell as claimed in claim 23 is cultivated and, where appropriate, the expressed structural
25 protein is isolated.
26. A pharmaceutical comprising a structural protein as claimed in any of claims 1 to 21, a nucleic acid as claimed in claim 22 and/or a cell as
30 claimed in claim 23 and/or, where appropriate, excipients and/or additives.
27. A pharmaceutical comprising at least two different structural proteins as claimed in any of claims 1 to 21, characterized in that each structural
35 protein has a different modification.
28. A kit comprising at least two different structural proteins as claimed in any of claims 1 to 21,

ABSTRACT

The present invention relates to a structural protein of adeno-associated virus (AAV) which comprises at least one modification which brings about a reduction in the antigenicity, its production and use.

PATENT
ATTORNEY DOCKET NO: 50125/044001

COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled STRUCTURAL PROTEIN OF ADENO-ASSOCIATED VIRUS WITH MODIFIED ANTIGENICITY, ITS PRODUCTION AND ITS USE, the specification of which

- ☐ is attached hereto.
☒ was filed on January 15, 2002 as Application Serial No. 10/031,313
 and was amended on _____.
☐ was described and claimed in PCT International Application No. _____
 filed on _____ and as amended under PCT Article 19 on _____.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

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Country	Serial Number	Filing Date	Priority Claimed?
PCT	PCT/EP00/06692	July 13, 2000	Yes
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claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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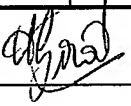
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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